

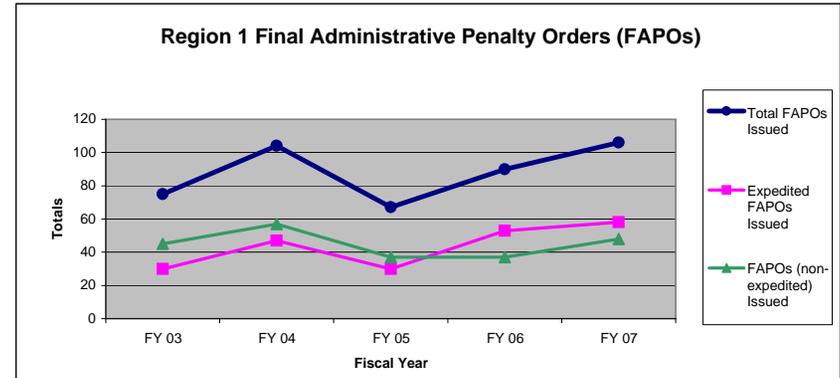
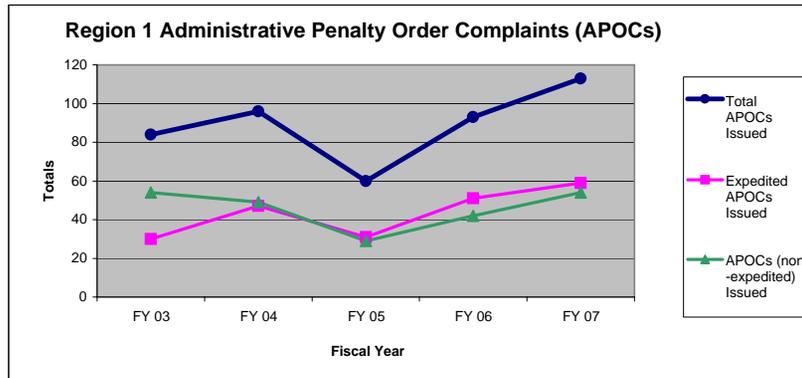
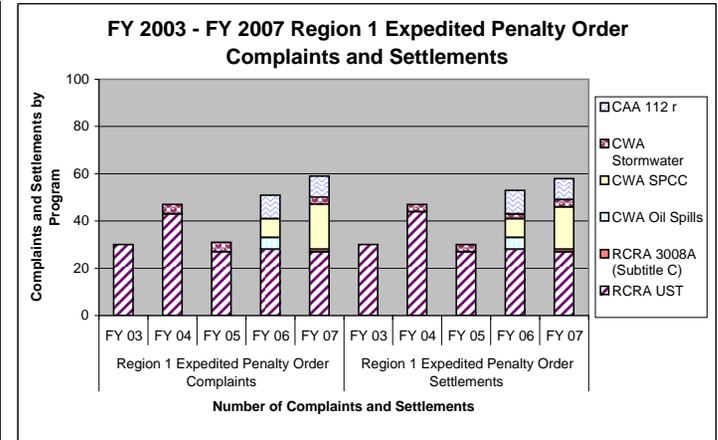


# National Enforcement Trends (NETs)

## FY 2003 - FY 2007 Region 1 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 1 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 1 Expedited Penalty Order Complaints					Region 1 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	0	0	10	9	0	0	0	10	9
CWA	CWA Stormwater	0	4	4	0	3	0	3	3	2	3
CWA	CWA SPCC	0	0	0	8	19	0	0	0	8	18
CWA	CWA Oil Spills	0	0	0	5	0	0	0	0	5	0
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	1	NR	NR	NR	0	1
RCRA	RCRA UST	30	43	27	28	27	30	44	27	28	27
<b>Total</b>		<b>30</b>	<b>47</b>	<b>31</b>	<b>51</b>	<b>59</b>	<b>30</b>	<b>47</b>	<b>30</b>	<b>53</b>	<b>58</b>

NR- Not Reported

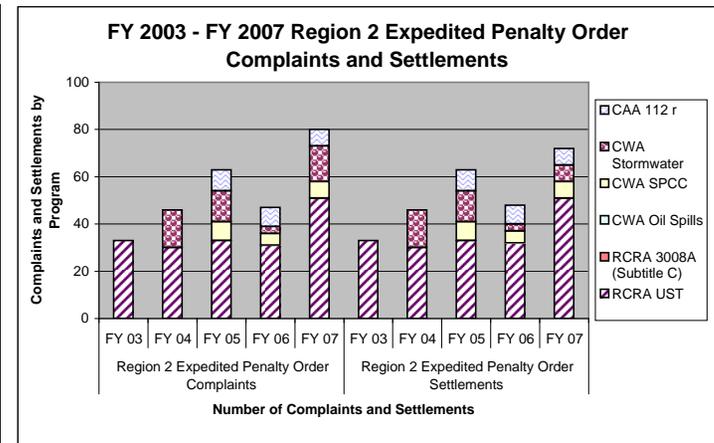




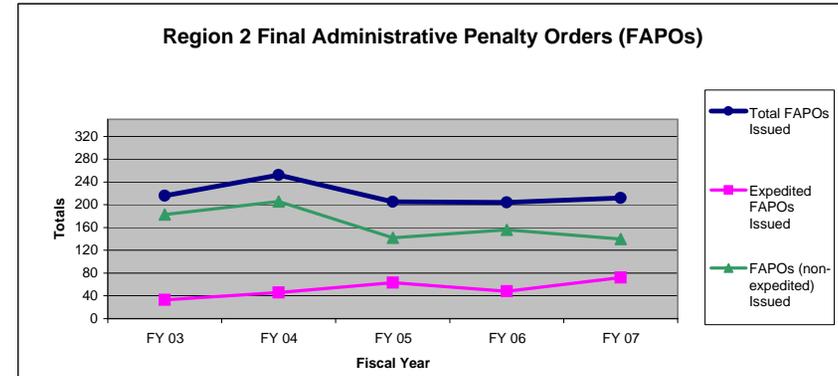
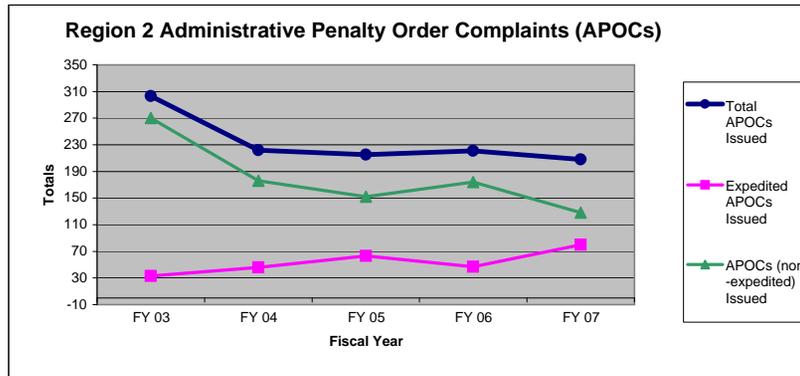
## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 2 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 2 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 2 Expedited Penalty Order Complaints					Region 2 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	0	9	8	7	0	0	9	8	7
CWA	CWA Stormwater	0	16	13	3	15	0	16	13	3	7
CWA	CWA SPCC	0	0	8	5	7	0	0	8	5	7
CWA	CWA Oil Spills	0	0	0	0	0	0	0	0	0	0
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	NR	NR	NR	0	0
RCRA	RCRA UST	33	30	33	31	51	33	30	33	32	51
<b>Total</b>		<b>33</b>	<b>46</b>	<b>63</b>	<b>47</b>	<b>80</b>	<b>33</b>	<b>46</b>	<b>63</b>	<b>48</b>	<b>72</b>



NR- Not Reported

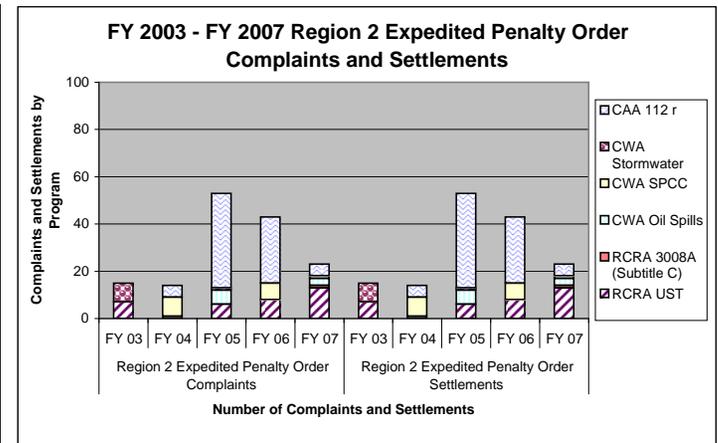




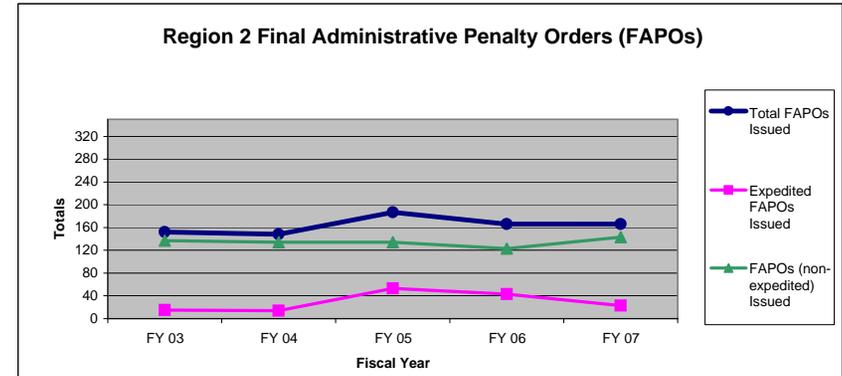
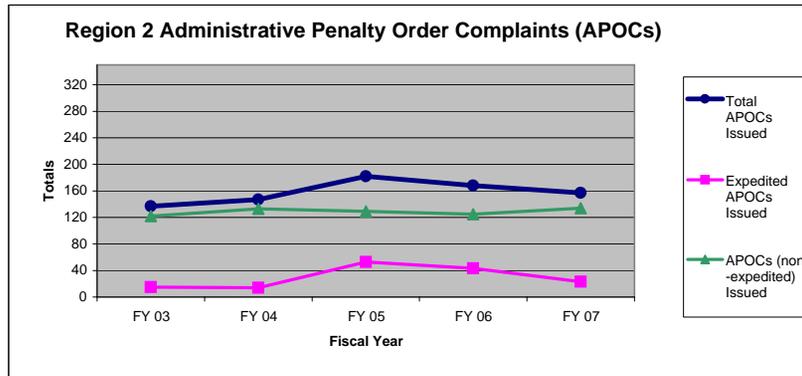
# National Enforcement Trends (NETs)

## FY 2003 - FY 2007 Region 3 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 2 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 2 Expedited Penalty Order Complaints					Region 2 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	5	40	28	5	0	5	40	28	5
CWA	CWA Stormwater	8	0	1	0	0	8	0	1	0	0
CWA	CWA SPCC	0	8	0	7	1	0	8	0	7	1
CWA	CWA Oil Spills	0	1	6	0	3	0	1	6	0	3
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	1	NR	NR	NR	0	1
RCRA	RCRA UST	7	0	6	8	13	7	0	6	8	13
<b>Total</b>		<b>15</b>	<b>14</b>	<b>53</b>	<b>43</b>	<b>23</b>	<b>15</b>	<b>14</b>	<b>53</b>	<b>43</b>	<b>23</b>



NR- Not Reported



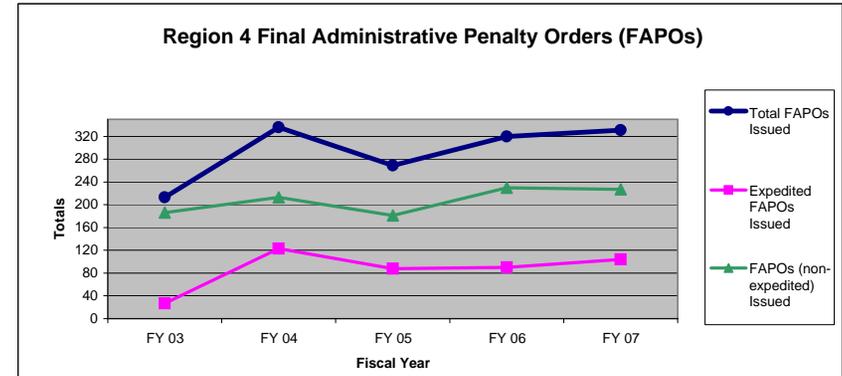
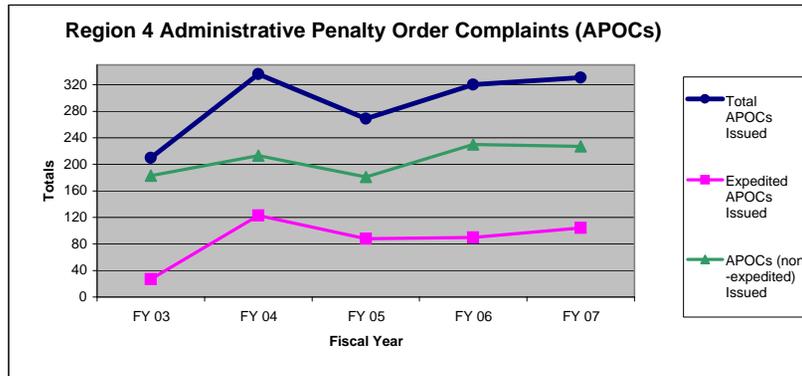
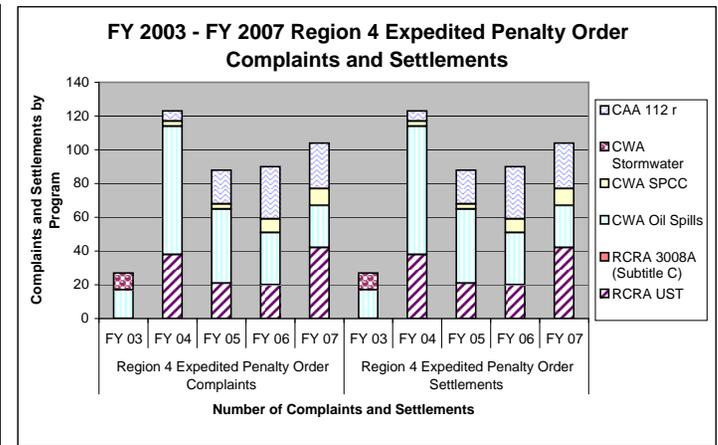


## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 4 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 4 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 4 Expedited Penalty Order Complaints					Region 4 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	6	20	31	27	0	6	20	31	27
CWA	CWA Stormwater	10	0	0	0	0	10	0	0	0	0
CWA	CWA SPCC	0	3	3	8	10	0	3	3	8	10
CWA	CWA Oil Spills	17	76	44	31	25	17	76	44	31	25
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	NR	NR	NR	0	0
RCRA	RCRA UST	0	38	21	20	42	0	38	21	20	42
<b>Total</b>		<b>27</b>	<b>123</b>	<b>88</b>	<b>90</b>	<b>104</b>	<b>27</b>	<b>123</b>	<b>88</b>	<b>90</b>	<b>104</b>

NR- Not Reported

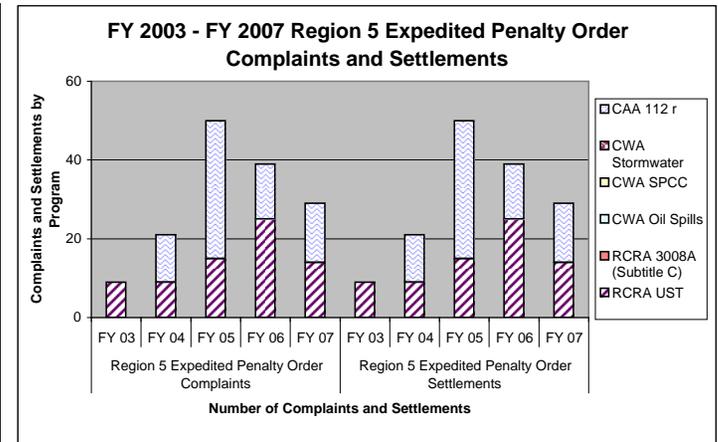




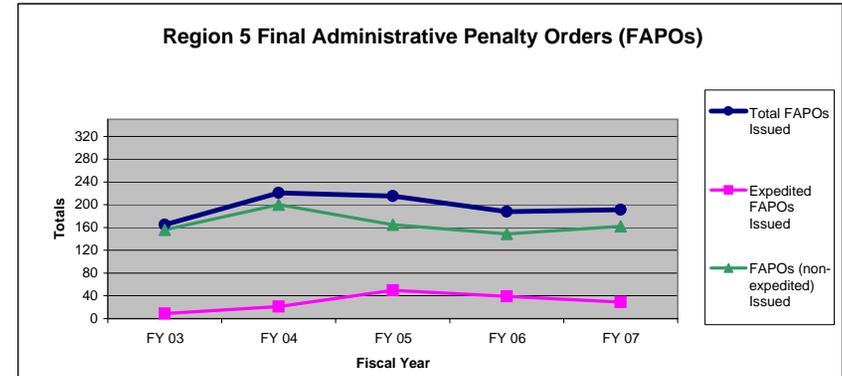
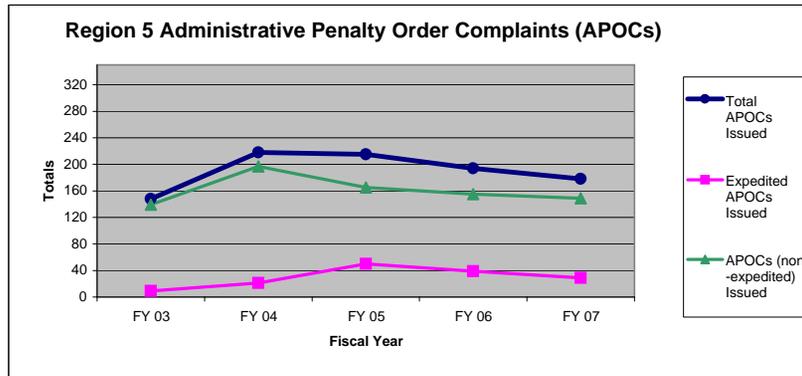
## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 5 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 5 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 5 Expedited Penalty Order Complaints					Region 5 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	12	35	14	15	0	12	35	14	15
CWA	CWA Stormwater	0	0	0	0	0	0	0	0	0	0
CWA	CWA SPCC	0	0	0	0	0	0	0	0	0	0
CWA	CWA Oil Spills	0	0	0	0	0	0	0	0	0	0
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	NR	NR	NR	0	0
RCRA	RCRA UST	9	9	15	25	14	9	9	15	25	14
<b>Total</b>		<b>9</b>	<b>21</b>	<b>50</b>	<b>39</b>	<b>29</b>	<b>9</b>	<b>21</b>	<b>50</b>	<b>39</b>	<b>29</b>



NR- Not Reported

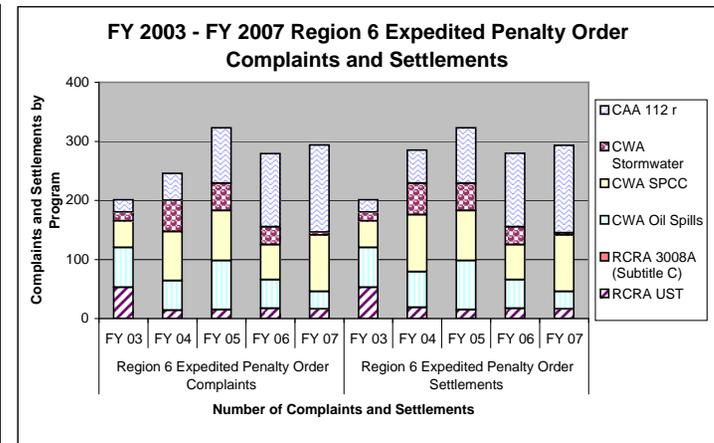




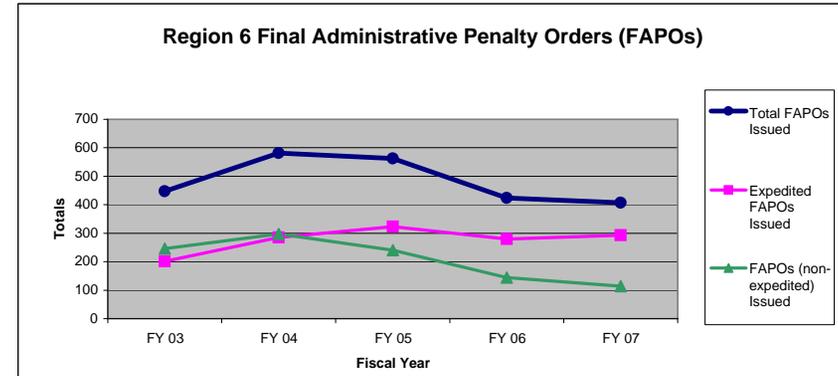
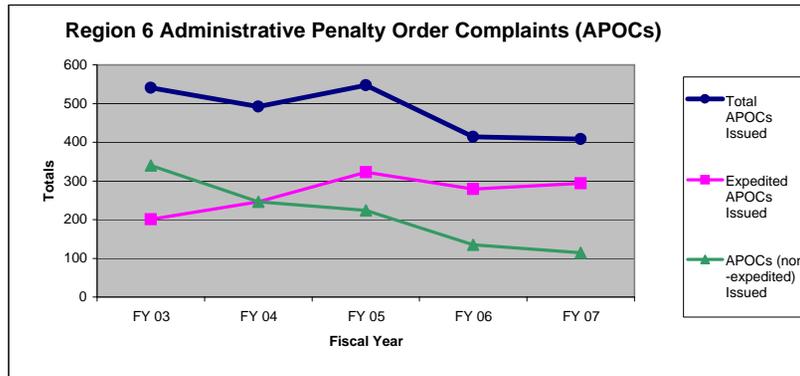
## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 6 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 6 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 6 Expedited Penalty Order Complaints					Region 6 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	21	46	94	124	148	21	56	94	125	148
CWA	CWA Stormwater	15	53	46	30	5	15	53	46	30	4
CWA	CWA SPCC	45	83	85	59	95	45	97	85	59	95
CWA	CWA Oil Spills	67	50	83	49	30	67	60	83	49	30
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	NR	NR	NR	0	0
RCRA	RCRA UST	53	14	15	17	16	53	19	15	17	16
<b>Total</b>		<b>201</b>	<b>246</b>	<b>323</b>	<b>279</b>	<b>294</b>	<b>201</b>	<b>285</b>	<b>323</b>	<b>280</b>	<b>293</b>



NR- Not Reported

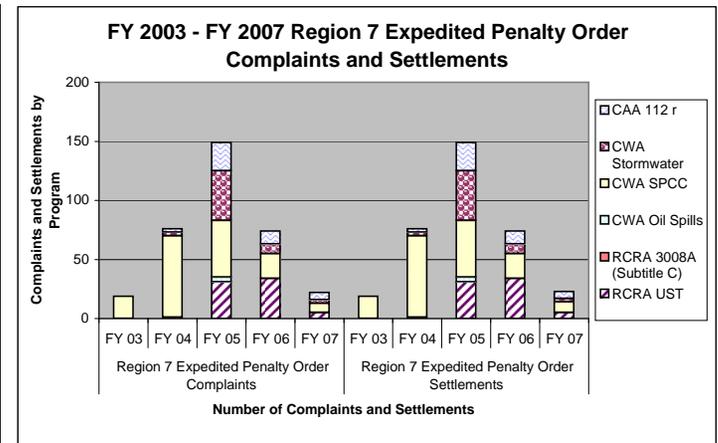




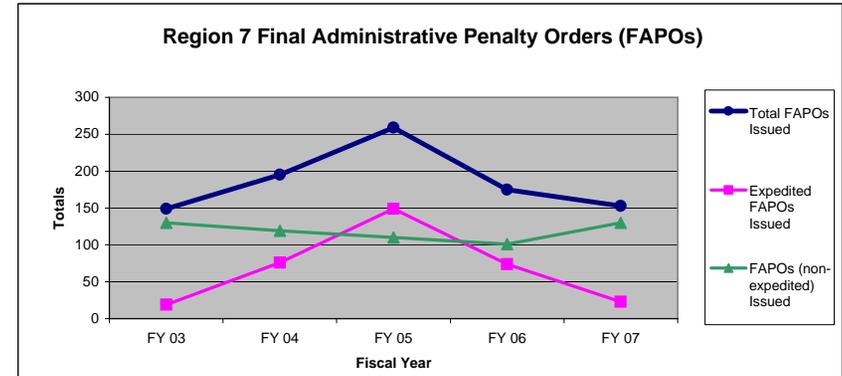
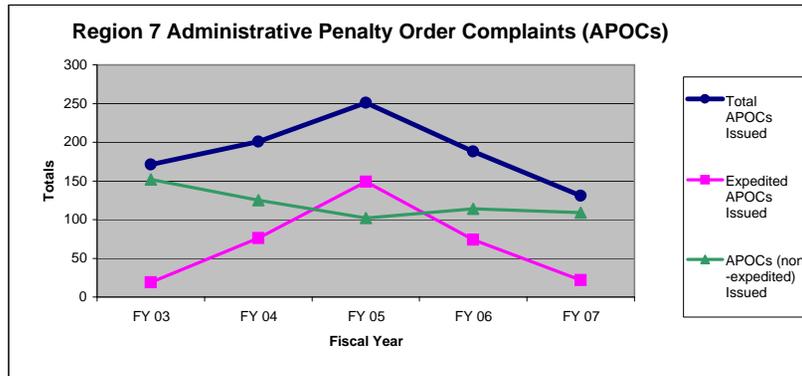
## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 7 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 7 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 7 Expedited Penalty Order Complaints					Region 7 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	3	24	11	6	0	3	24	11	6
CWA	CWA Stormwater	0	3	42	8	3	0	3	42	8	3
CWA	CWA SPCC	19	69	48	21	8	19	69	48	21	9
CWA	CWA Oil Spills	0	0	4	0	0	0	0	4	0	0
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	NR	NR	NR	0	0
RCRA	RCRA UST	0	1	31	34	5	0	1	31	34	5
<b>Total</b>		<b>19</b>	<b>76</b>	<b>149</b>	<b>74</b>	<b>22</b>	<b>19</b>	<b>76</b>	<b>149</b>	<b>74</b>	<b>23</b>



NR- Not Reported

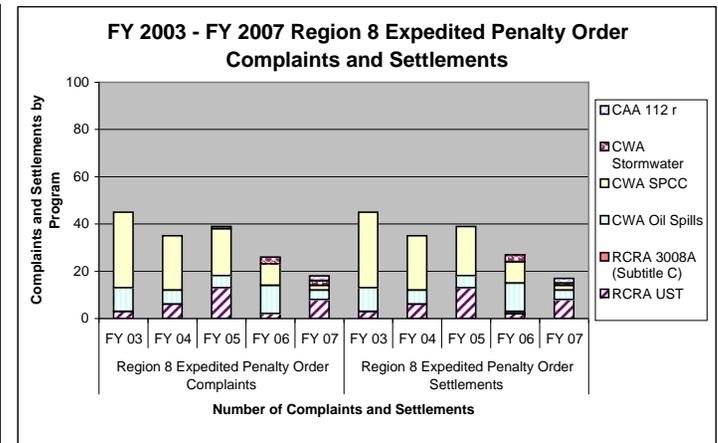




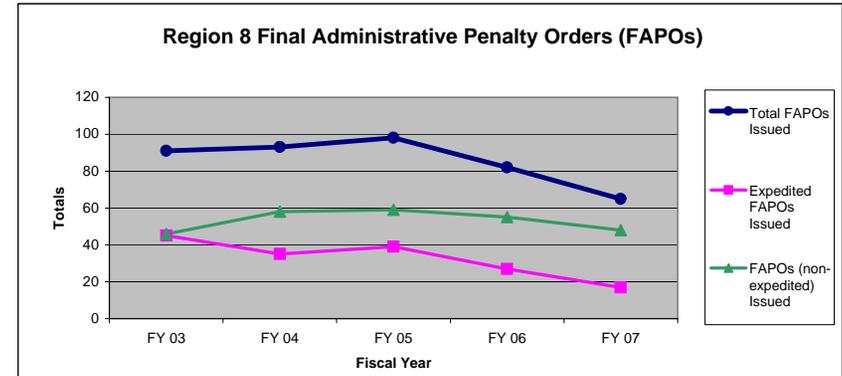
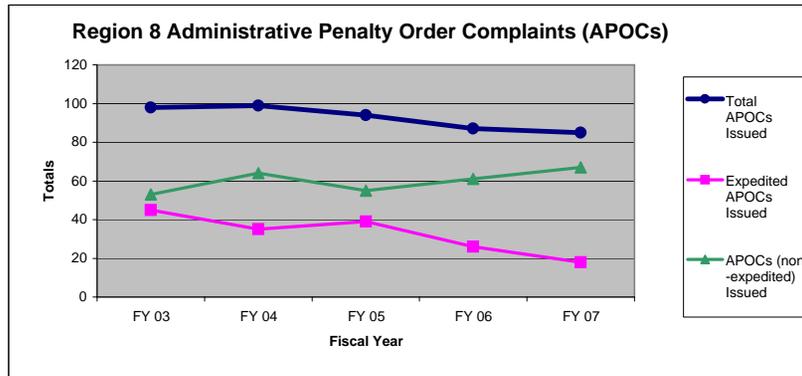
## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 8 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 8 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 8 Expedited Penalty Order Complaints					Region 8 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	0	1	0	2	0	0	0	0	2
CWA	CWA Stormwater	0	0	0	3	2	0	0	0	3	1
CWA	CWA SPCC	32	23	20	9	2	32	23	21	9	2
CWA	CWA Oil Spills	10	6	5	12	4	10	6	5	12	4
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	NR	NR	NR	1	0
RCRA	RCRA UST	3	6	13	2	8	3	6	13	2	8
<b>Total</b>		<b>45</b>	<b>35</b>	<b>39</b>	<b>26</b>	<b>18</b>	<b>45</b>	<b>35</b>	<b>39</b>	<b>27</b>	<b>17</b>



NR- Not Reported

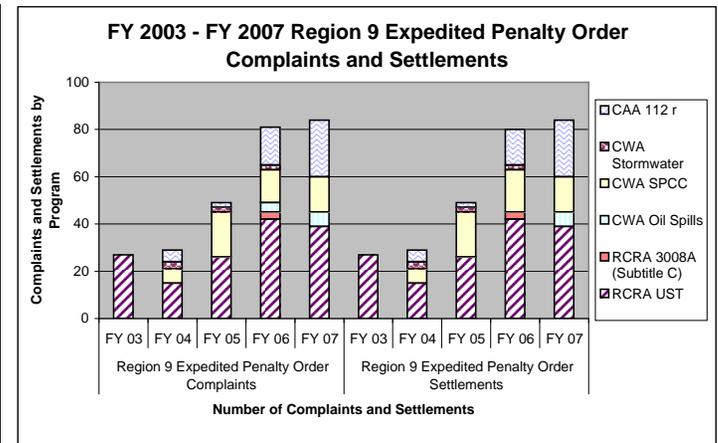




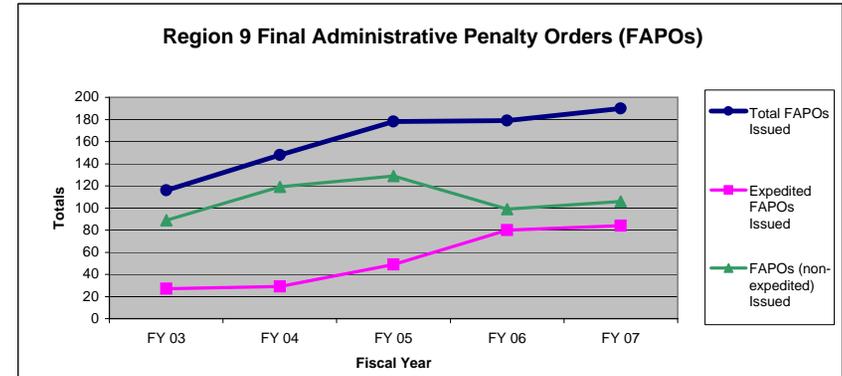
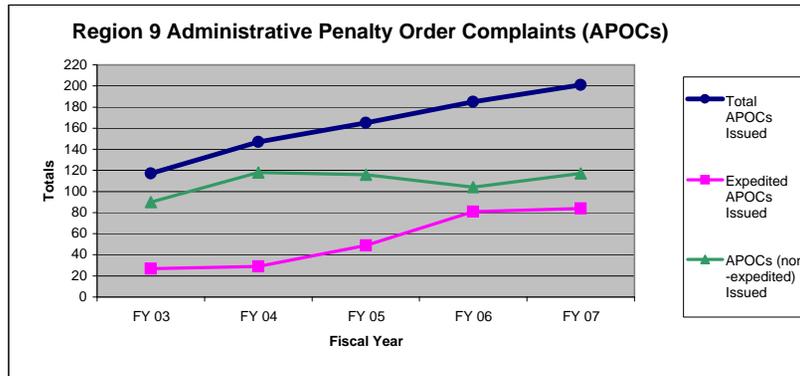
## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 9 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 9 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 9 Expedited Penalty Order Complaints					Region 9 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	5	2	16	24	0	5	2	15	24
CWA	CWA Stormwater	0	3	2	2	0	0	3	2	2	0
CWA	CWA SPCC	0	6	19	14	15	0	6	19	18	15
CWA	CWA Oil Spills	0	0	0	4	6	0	0	0	0	6
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	3	0	NR	NR	NR	3	0
RCRA	RCRA UST	27	15	26	42	39	27	15	26	42	39
<b>Total</b>		<b>27</b>	<b>29</b>	<b>49</b>	<b>81</b>	<b>84</b>	<b>27</b>	<b>29</b>	<b>49</b>	<b>80</b>	<b>84</b>



NR- Not Reported



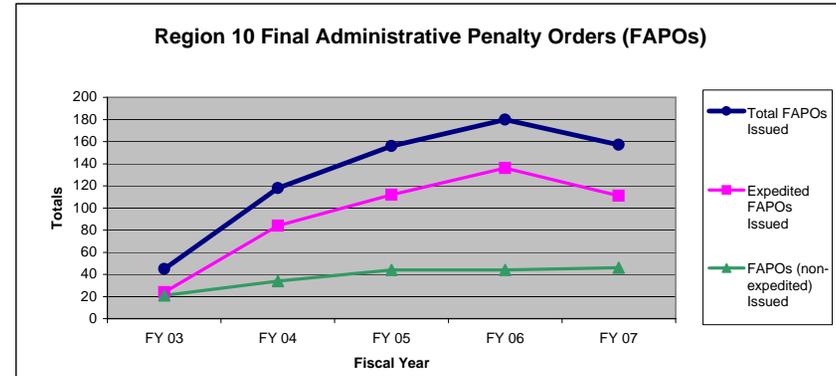
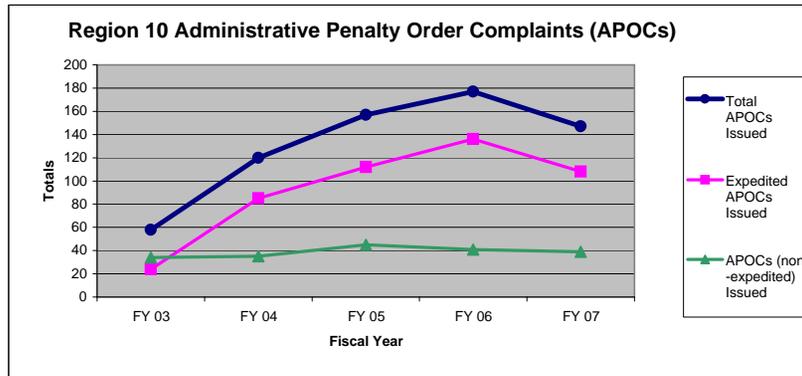
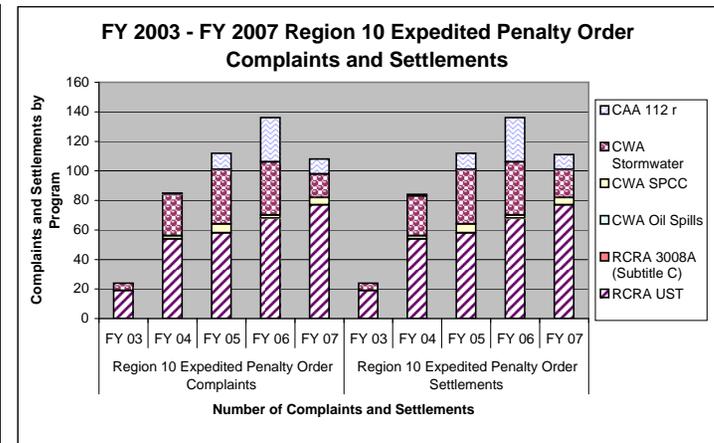


## National Enforcement Trends (NETs)

# FY 2003 - FY 2007 Region 10 Expedited Administrative Penalty Orders

FY 2003-FY 2007 Region 10 Expedited Penalty Order Complaints and Settlements											
Statute	Program	Region 10 Expedited Penalty Order Complaints					Region 10 Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	1	11	30	10	0	1	11	30	10
CWA	CWA Stormwater	5	28	37	36	16	5	27	37	36	19
CWA	CWA SPCC	0	2	6	2	5	0	2	6	2	5
CWA	CWA Oil Spills	0	0	0	0	0	0	0	0	0	0
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	NR	NR	NR	0	0
RCRA	RCRA UST	19	54	58	68	77	19	54	58	68	77
<b>Total</b>		<b>24</b>	<b>85</b>	<b>112</b>	<b>136</b>	<b>108</b>	<b>24</b>	<b>84</b>	<b>112</b>	<b>136</b>	<b>111</b>

NR- Not Reported

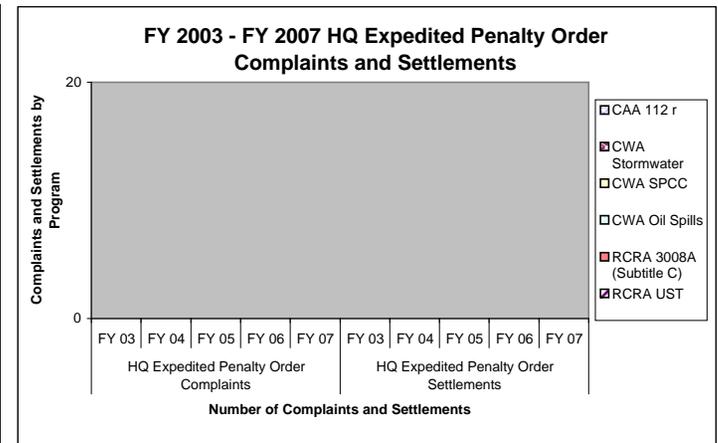




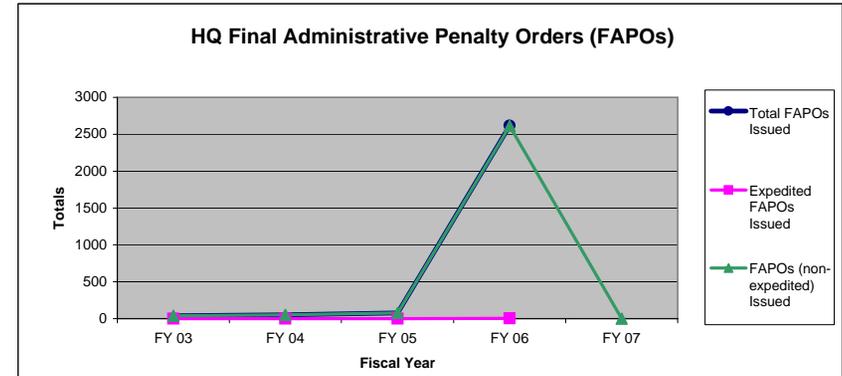
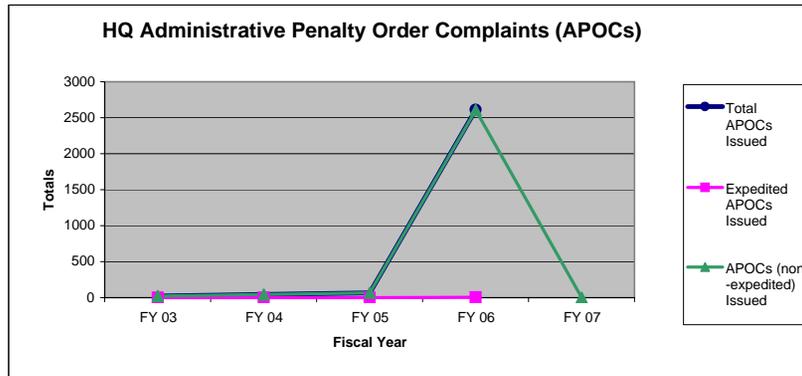
# National Enforcement Trends (NETs)

## FY 2003 - FY 2007 HQ Expedited Administrative Penalty Orders

FY 2003-FY 2007 HQ Expedited Penalty Order Complaints and Settlements											
Statute	Program	HQ Expedited Penalty Order Complaints					HQ Expedited Penalty Order Settlements				
		FY 03	FY 04	FY 05	FY 06	FY 07	FY 03	FY 04	FY 05	FY 06	FY 07
CAA	CAA 112 r	0	0	0	0	0	0	0	0	0	0
CWA	CWA Stormwater	0	0	0	0	0	0	0	0	0	0
CWA	CWA SPCC	0	0	0	0	0	0	0	0	0	0
CWA	CWA Oil Spills	0	0	0	0	0	0	0	0	0	0
RCRA	RCRA 3008A (Subtitle C)	NR	NR	NR	0	0	0	0	0	0	0
RCRA	RCRA UST	0	0	0	0	0	0	0	0	0	0
<b>Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



NR- Not Reported



## National Enforcement Trends (NETs) Metadata

NETs Pages E-3, Region 1 - HQ: FY 2003 - FY 2007 Expedited Administrative Penalty Orders

<b>Note 1</b>	<p>According to US. EPA policy, "Use of Expedited Settlements to Support Appropriate Tool Selection," December 2, 2003, pp. 2 &amp; 3, expedited settlements:</p> <p>offer "real time" enforcement in situations where violations are corrected and a penalty is obtained in a short amount of time, generally a few months from Environmental Protection Agency (EPA's) discovery of the violation. The approach is generally appropriate for minor, easily correctable violations and provides a discounted, non-negotiable settlement offer in lieu of more formal, traditional administrative penalty actions.</p> <p>In addition, the expedited settlement approach:</p> <p>offers both benefits to the environment and potential cost-savings to the Agency. When used appropriately, expedited settlements result in regulated entities returning to compliance and paying penalties more quickly than would be accomplished through issuance of a non-expedited administrative penalty order.</p>
<b>Note 2</b>	<p>Expedited administrative penalty orders (APOs) (complaints and final orders) were referred to as "field citations" in the Resource Conservation and Recovery Act (RCRA) program prior to FY 2003. In 1999 field citations/expedited APOs were added to total counts of APOs. In addition to the RCRA Underground Storage Tanks (UST) program, expedited APOs are now a formal enforcement tool used by the following programs: Clean Air Act (CAA) 112r, Clean Water Act (CWA) Stormwater, CWA Spill Prevention Control and Countermeasure (SPCC), and CWA Oil Spills. As a result, the total counts in this chart include expedited APOs.</p>
<b>Note 3</b>	<p>Expedited actions account for a significant portion of the APOCs reported. Of the 2,229 APOCs reported in FY 2005, 957 (43%) were expedited penalty order complaints; of the 4,647 APOCs reported in FY 2006, 863 (19%) were expedited penalty order complaints; and of the 2,237 APOCs reported in FY 2007, 821 (37%) were expedited penalty order complaints.</p>
<b>Note 4</b>	<p>Expedited actions account for a significant portion of the final administrative penalty orders (FAPOs) reported. Of the 2,273 FAPOs reported in FY 2005, 956 (42%) were expedited penalty order actions; of the 4,624 FAPOs reported in FY 2006, 866 (19%) were expedited penalty settlements; and of the 2,256 FAPOs in FY 2007, 814 (36%) were expedited penalty settlements.</p>
<b>Note 5</b>	<p>No expedited penalty orders (complaints/final orders) were issued to address the animal feeding operations, which account for the lower percentage of expedited orders in FY 2006 (refer to Notes 3 &amp; 4 for percentages in FY 2005 vs. FY 2006).</p>