



**EPA Office of Compliance
Enforcement Targeting and Data Division**

AFS National Workshop

San Francisco, CA

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Media Systems and Support Section, Data Systems and Information Management Branch, Enforcement Targeting and Data Division, Office of Compliance, Office of Enforcement and Compliance Assurance, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, D.C. 20460

Online Annual Certifications

USEPA AFS Workshop

August, 2007

Bob Waterfall



Online Certs Project

- Facility Web Interface Pilot Test
 - Last Winter
- DEC Reviewer Interface Under Development
- Target For Rollout - November 1, 2007





Division of Air Resources Online Compliance Certification

- Welcome
- Annual Compliance Certification**
- Facility Details
- Enter Permit Conditions
- ▶ Enter Deviations
- QA Report
- eSign Certification
- View Certification**
- Correct Certification**
- Administration
- Certification Help
- Contact US
- Log Out

Deviations

Certification Data

Facility **AES WESTOVER** Permit ID **7-0346-00045/00006**
 Reporting Period **10/01/2005 TO 09/30/2006** Report Due Date **11/14/2006**

Deviations(s) for Condition # 14

Add Deviation Data Save

Deviation Type Code	<input type="text" value="Emissions"/>	No. of Occurrences	<input type="text" value="1"/>
Begin Date	<input type="text" value="6/15/06"/> <small>(mm/dd/yyyy)</small>	End Date	<input type="text" value="6/15/06"/> <small>(mm/dd/yyyy)</small>
		Written Notification Date	<input type="text" value="6/16/06"/> <small>(mm/dd/yyyy)</small>
Description	<input type="text" value="Meter Reading Outside of Range - (Avg 0.87)"/>	Cause	<input type="text" value="Broken Light On Alarm Panel Caused Warning to Go Unnoticed"/>
		Remedy	<input type="text" value="Replaced Light Bulb and Turned On Audible Alarm for Set Point"/>

Deviation Record:0 Edit Delete

Deviation Type Code	Emissions	No. of Occurrences	2
Begin Date	2/1/06	End Date	2/3/06
Description	Meter Reading Not Logged	Cause	Untrained Substitute For Sick Control Room Operator
		Remedy	Employeee Tained In Proper Recordkeeping Procedures

Business Process Design

- System E-mails DEC Region (& EPA R2?)
 - Deviations Flagged
- DEC Region
 - Reviews Certification
 - Enters Compliance Determination for Each Permit Condition
- EPA Region 2
 - Can Also View and Print Certification For Review



Compliance Determination (Annual Compliance Certification)

DEC ID Facility
 Location
 Permit Type Status Permit ID Ren Effective to
 Report Type Reporting Period to

Filter Criteria
 Facility Level EU: EP: Proc: ES:

All Violations will be considered Warnings

Cond Num	Regulation	EU	EP	Process	ES	Status	HPV
Condition Type	Description						
25	6NYCRR 201-6.5(c)(3) TITLE V PERMITS Monitoring					In Violation	<input type="checkbox"/>
	Semi annual reporting requirements						
26	6NYCRR 201-6.5(e) TITLE V PERMITS Monitoring					In Violation	<input checked="" type="checkbox"/>
	Annual compliance certification reports (Region 7).						
27	6NYCRR 201-6.5(g) TITLE V PERMITS Mandatory					In Compliance	<input type="checkbox"/>
	Permit Exclusion Provisions						

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- In Compliance
- In Compliance
- In Violation
- Under Review
- No Data Provided
- Previous Violation

 Certifications with Deviations Report - Selection Criteria



Selection Criteria

Region County Municipality

DEC ID Facility

Location

Permit Type Status Application ID Ren

DAR Staff Person

Additional Selection Criteria

SIC: Contaminant:

SCC: Family:

- Certifications without compliance determinations
- Certifications with violations missing either an NOV, a Case or an action to close the case
- Certifications with intermittent compliance for Federally enforceable conditions
- Certifications with intermittent compliance for more than days

Benefits

- Will Allow Quick Review of Certs With Deviations or Intermittent Compliance
- Reduced Paper Files
- Flooding Not A Problem

